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University of
Wisconsin-Madison
ED/SBS IRB
Application

Study # : 2013-0558
Principal Investigator: H
COOK

View: SF: Shared: Basic Study
Information

BASIC STUDY INFORMATION

* 1.1 Indicate the appropriate IRB.

o

Education and Social/Behavioral Science IRB

Health Sciences IRB

Minimal Risk IRB (Health Sciences)

* 1.2 Provide a short, lay-terms study title.

WIDA Research and Evaluation Data Warehouse (enhanced)

* 1.3 Provide the full, formal study title.

WIDA Research and Evaluation Data Warehouse (enhanced)

* 1.4 Is this study being transferred from another institution?

Answer Yes to this question only if

a) the principal investigator (PI) for this application is coming to UW-Madison, UW Health, or the Madison VA from another institution and

b) they plan to open a study here that is already IRB-approved at their previous institution.

Yes **No**

* 1.5 Identify the Principal Investigator.

H COOK

View: SF: EDSBS: Principal Investigator

PRINCIPAL INVESTIGATOR

* 2.0 Does the PI (listed above) have a faculty appointment at UW-Madison?

Yes No

Madison VA (William S. Middleton VA Hospital)

View: SF: Shared: PI Status

PI STATUS

* 2.1 Select which of the following criteria describe(s) how the person identified as the PI meets UW-Madison requirements to serve as PI:

PI is a UW-Madison unclassified staff person (academic staff or limited appointee) who has obtained approval of his or her director or chair to serve as PI. A completed "Request for Approval to Serve as Principal Investigator on a Human Subjects Protocol" should be uploaded below

2.1.1 If the PI does not meet any of the above criteria and an exception to allow the individual to serve as PI is being requested, indicate below why an exception is being sought and the person's qualifications to serve as PI. NOTE: Campus policy does not allow student researchers to serve as PI.

2.1.2 If required, upload "Request for Approval to Serve as Principal Investigator on a Human Subjects Protocol."

File
COOK_PI status_Exp 030719.pdf
CookPIStatus Expires 4-14-16_FINAL.pdf

View: SF: Shared: New Study Team

STUDY TEAM

3.1 Identify the points of contact for this study (limit of four).

*

Name	Email
CATHLIN FOY	cjfoy@wisc.edu

3.2 List all the other members of the study team (not including the PI or points of contact).

Name	Email
KYOUNGWON BISHOP	kyoungwon.bishop@wisc.edu
MARY CRANLEY	mecranley@wisc.edu
ROBERT GLOVER	bob.glover@wisc.edu
ROSALIE GRANT	rosalie.grant@wisc.edu
NICHOLAS KRANINGER	nick.kraninger@wisc.edu
TAMEKA PORTER	tporter5@wisc.edu
ANDREW REICHERT	andrew.reichert@wisc.edu
SARAH RYAN	sryan25@wisc.edu
NAREK SAHAKYAN	sahakyan@wisc.edu
LAURENCE SCHULTZ	lwschult@wisc.edu

View: SF: EDSBS: Study Team New UI Roles

STUDY TEAM: ROLES

* 4.1 Does this study involve recruiting, consenting, or interacting with human subjects?

Yes No

View: SF: EDSBS: Funding

FUNDING: GENERAL

* 7.1 Identify the specific department or organization unit under which the research study will be conducted:

WIS CENTER FOR EDUCATION RESCH-GEN (A177500)

* 7.2 Are you or do you plan on receiving funding to support this project (includes internal UW-Madison funds)?

Yes No

7.2.1 If the answer to 7.2 is Yes, will any of the funding be administered by the University of Wisconsin-Madison AND be at least one of the following types of accounts: 133 (not federally sponsored), 144 (federally sponsored), 233 (gift account), or 135 (WARF gift account). NOTE: For a 136 revenue account, please answer No to this question.

Yes **No**

7.3 If there is no grant or contract funding this research, how will this research be funded?

WIDA Consortium Project funds

View: SF: Shared: Funded Studies Source

FUNDED STUDIES

* 8.1 Identify all sources of funding for this study or project:

Fee-for-service

8.1.1 If other, specify.

8.1.2 If 8.1 is fee-for-service, provide information about the funding sources.

Sponsor	UDDS	UW fund/account number	Accounting Point of Contact
View			
WIDA Consortium - Wisconsin Center for Education Research (WCER)	WIS CENTER FOR EDUCATION RESCH-GEN (A177500)	136-17-7570-4	

View: SF: Shared: COI General

CONFLICT OF INTEREST (COI)

* 13.1 Do ANY of the study team involved in the design or conduct of the research study, or their immediate family (spouse or dependent children), have a financial interest in an entity that (a) sponsors the study or (b) owns or licenses technology tested or evaluated in the study (including any agent, device, or software) that meets or exceeds one of the thresholds below:

(a) Compensation of \$20,000 or more in a calendar year from a publicly traded or privately held business entity;

(b) An ownership interest in a publicly traded business entity valued at \$20,000 or more or a 5% or greater equity interest;

(c) Any ownership interest in a privately held business entity whatever the value;

(d) A combination of compensation and ownership interest in a publicly traded business entity valued at \$20,000 or more;

(e) A leadership position in a business entity (Leadership positions are positions with fiduciary responsibility, including senior managers (e.g., presidents, vice presidents, etc.) and members of boards of directors). Scientific advisory board membership is not a leadership position.

Yes **No**

13.1.1 If yes, identify the personnel who have this interest.

Person

There are no items to display

13.1.2 Upload the COI management plan(s).

File

There are no items to display

13.2 Do ANY of the study team involved in the design or conduct of the research study, or their immediate family (spouse or dependent children), have a proprietary interest in the research, such as royalties, patents, trademarks, copyright, or licensing agreement, that is relevant to this research study (including any agent, device, or software being evaluated as part of the research study)? NOTE: If this proprietary interest is managed through WARF, select Not Applicable.

Yes **No**

Not Applicable

13.2.1 If yes, identify the personnel who have this interest.

Person

There are no items to display

13.2.2 Upload the COI management plan(s).

File

There are no items to display

* 13.3 Do ANY of the study team involved in the design or conduct of the research study have a financial interest that requires disclosure to the sponsor or funding source?

Yes **No**

13.3.1 If yes, identify the personnel who have this interest.

Person

There are no items to display

View: SF: Shared: COI Continued

CONFLICT OF INTEREST (COI): CONTINUED

* 14.1 In addition to the sponsor(s) of this study or project, are other companies or business entities involved or potentially affected in a significant way by this study or project?

Yes **No**

14.1.1 If yes, list those companies/business entities.

14.1.2 If yes, describe the nature of each company/business entity's involvement.

* 14.2 Do ANY of the study team involved in the design or conduct of the study or project have any other financial interest that the investigator believes may interfere with his or her ability to protect subjects?

Yes **No**

14.2.1 If yes, identify the personnel who have this interest.

Person

There are no items to display

* 14.3 Do any of the study team receive any incentives for recruiting human subjects or any other purpose directly related to the study or project?

Yes **No**

14.3.1 If yes, describe the nature of the incentive.

View: SF: EDSBS: Clinicaltrials.gov

CLINICALTRIALS.GOV REGISTRATION

Registration at Clinicaltrials.gov may be required for International Committee of Medical Journal Editors (ICMJE) publication purposes or as a condition of receiving federal funding as described below. Click on the help link above for additional information on these requirements.

20.1 Does this study need to be registered at Clinicaltrials.gov to meet the ICMJE or NIH requirements? Note: The ICMJE and NIH require the registration of all health-related interventional studies investigating relationships between the health-related intervention and any health outcomes (interventions include: drugs, surgical procedures, devices, behavioral treatments, educational programs, dietary interventions, and process-of-care changes).

* Yes No

View: SF: Shared: Application Type

TYPE OF APPLICATION

* 1.1 Indicate the type of application:

Initial review application

View: SF: Shared: General Location

STUDY LOCATION: GENERAL

* 1.1 Is this a multi-site study?

Yes No

* 1.2 Will UW-Madison personnel or personnel under UW-Madison IRB purview conduct research activities at sites outside of the US?

Yes **No**

1.2.1 If yes, specify.

There are no items to display

View: SF: EDSBS: Multi-Site: General

MULTI-SITE: GENERAL

2.1 Please list all the non-UW Madison sites or personnel involved in this study. NOTE: If this is a federally sponsored cooperative group/consortium study or fully industry-supported clinical trial, check Not Applicable.

WIDA Consortium members: Alabama, Alaska, Bureau of Indian Education, Colorado, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Virginia, Wisconsin, Wyoming, United States Virgin Islands (US Territory) and the Commonwealth of the Northern Mariana Islands (US Commonwealth)

Not Applicable

* 2.2 Are you requesting that the UW-Madison serve as the IRB of record for a non-UW-Madison individual or entity? NOTE: All individuals at sites requesting that the UW-Madison serve as IRB of record must be listed as key personnel on this study. If you are unsure about who should be listed as key personnel, contact the IRB office.

Yes **No**

2.2.1 If yes, select the institutions with which these individuals or institutions are affiliated. Check all that apply. NOTE:

- If you are requesting IRB oversight for an entity (e.g., company) without an IRB, select the last option on the list.

There are no items to display

* 2.3 Are there any non-UW Madison sites or personnel involved in this study that are providing their own IRB review?

Yes **No**

2.3.1 If yes, please provide collaborating site IRB approval(s).

File

There are no items to display

View: SF: Shared: Study Location(s): UW-Madison Sites

STUDY LOCATION(S): UW-MADISON SITES

* 3.1 Describe where the study will occur.

WIDA Consortium - Wisconsin Center for Education Research

View: SF: EDSBS: Summary

STUDY SUMMARY

* 1.1 Provide the expected duration of the study (i.e., the time from IRB approval to completion of all study activities).

5 years

View: SF: Shared: Special Procedures

SPECIAL CONSIDERATIONS AND PROCEDURES

* 2.1 If your study involves any of the following special procedures or considerations, additional information may be needed. Select all that apply. If none apply, check Not Applicable.

Interviews, focus groups, surveys, questionnaires, assessments (e.g., QOL, SCID, BDI, etc.)

Research activities occurring in an educational setting (e.g., classroom)

Secondary use of information (record/data/images), which includes information within:

*Databases

*Registries

View: SF: Shared: Research Design General

RESEARCH DESIGN AND PROCEDURES

* 1.1 What is the overall purpose of this project or study?

This IRB protocol is to support and complement the WIDA mission to understand and improve the instruction, assessment and achievement of English learners (ELs). To that end, the protocol seeks permission to collect relevant student, school, district and state information for research purposes.

Annually, a subcommittee of the WIDA consortium board (WIDA member representatives) identify topics of inquiry to pursue. These topics serve both evaluative and research purposes. This protocol focuses specifically on data collected from the WIDA data warehouse for research purposes. WIDA maintains a longitudinal data warehouse of EL assessment data from WIDA states. WIDA's data warehouse also collects and matches current state EL assessment information with school, district and state data from national collections, i.e., the Common Core Data, Schools and Staffing Survey, and NAEP.

This particular request does not posit research questions, per se but seeks to create a platform from which research may be conducted. The primary purpose of the WIDA Data Warehouse is to support member states in meeting evaluation requirements as it relates to supporting ELLs and the programs that serve them as required by Federal law. Specific WIDA research questions will be submitted under different IRB protocols.

* 1.2 Briefly describe the procedures and interventions that will be performed for this project or study and all study arms involved.

ACCESS for ELLs (Assessing Comprehension and Communication in English State-to-State for English Language Learners) is a secure, large-scale English language proficiency assessment given from Kindergarten through 12th grade to students identified as English learners. ALT ACCESS for ELLs (Alternate ACCESS for ELLs) is an assessment of English language proficiency (ELP) for students in grades 1-12 who are classified as English language learners (ELLs) and have significant cognitive disabilities that prevent their meaningful participation in the ACCESS for ELLs assessment.

These assessments are given annually in WIDA Consortium states to monitor students' progress in acquiring English. For more information on these assessments see www.wida.us.

View: SF: EDSBS: Risks and Benefits General

RISKS AND BENEFITS: GENERAL

- * 1.1 Describe any potential direct benefits to subjects. If there are no direct benefits, state this.

There are no direct benefits to participants in this study. However, educators who support ELs in WIDA states may gain by reflecting on the research findings.

- * 1.2 Describe the potential benefits of this research to society.

Research findings expand our understanding of how to better serve the language development and academic achievement of ELs.

- * 1.3 Does this study involve direct physical intervention with subjects?

Yes **No**

View: SF: Shared: RB Ratio

RISK/BENEFIT ANALYSIS

- * 4.1 Describe any potential psychosocial risks to subjects, such as psychological stress or confidentiality risks (including risk to reputation, economic risks, and legal risks).

The risk is the release of student identifiers, student names, and assessment information.

- * 4.2 Describe how ALL the risks of the study will be minimized.

The security protocols described in the Privacy and Confidentiality section of this protocol are currently in place. Only those conducting research or evaluation services will have access to this information, and researchers get information without student identifiers or names. Only the PI and Key personnel have access to the data.

- * 4.4 Describe the provisions in place to identify and address unanticipated problems or complications.

This protocol lists Bob Glover, WCER Technical Services Director, as a study team member. Technical Services routinely scan the database for irregularities in data security, and if necessary have an established response process in place.

Also, the Education Record Release and Data Use Agreement included in the WIDA Consortium MOUs outline processes to address problems or complications.

View: SF: Shared: Privacy/Confidentiality

PRIVACY AND CONFIDENTIALITY

- * 1.1 Describe the precautions that will be used to ensure subject *privacy* is protected (e.g., research intervention is conducted in a private room; collection of sensitive information about subjects is limited to the amount necessary to achieve the aims of the research).

The WIDA Consortium's assessment data are downloaded from a secure FTP site provided by the WIDA scoring vendor (currently Data Recognition Corporation) to an external hard drive. The data are then uploaded to a secure SQL server and students' names and IDs are encrypted within the SQL server. The external hard drive is then removed and placed in a locked secure safe. Once locked, only the WIDA Research Director (PI) and Data Warehouse Manager (Study Team) may remove the external hard drive. Subsequent to CO's legislature passing the new Student Data Transparency and Security Act, WIDA has also encrypted all remaining variables (test scores, demographic information) for all records in the SQL sever and created an encryption key system for the external hard drive.

Research files used for analyses do not contain student IDs or names. Researchers use statistical software programs on the School of Education WCER App Server. All research files are created from SQL calls specific to the statistical software being used. Once analyses are complete and programs closed, secondary datasets created from analyses are deleted, typically through programming in the statistical software. All analyses are conducted on primary datasets and only program code is retained.

- * 1.2 Select how subjects are identified in the research records. Check all that apply:

Directly: Information identifying subjects is stored directly on data records

Indirectly: Information identifying subjects is linked to data record but stored separately

- * 1.3 Describe the measures that will be implemented by your research team to safeguard the identifiable subject information from unauthorized use or disclosure for both paper and electronic forms of information. Include how and where data will be stored.

Data will not be available in the paper form, only in electronic form.

This hard drive is kept in a safe when not in use. Access to remove the drive from the safe is limited to the WIDA Research Director and Data Warehouse Manager. When in use, the drive is kept in a locked office.

Subsequent to CO's legislature passing the new Student Data Transparency and Security Act, WIDA has created an encryption key system for the external hard drive.

- * 1.4 Are you planning to retain data collected for this study for purposes not described in this application (e.g., future unrelated research project)?

Yes No

- * 1.4.1 If yes, do you confirm that any future uses not described in this application will be submitted separately for IRB review?

Yes No

View: SF: Shared: Privacy/Confidentiality: Continued

PRIVACY AND CONFIDENTIALITY: CONTINUED

* 2.1 Will data be stored on laptops or portable devices?

Yes No

2.1.1 If yes, what additional safeguards have been put in place (e.g., link for coded data will be stored separately, data will be deidentified) to protect these data from risk of breach of confidentiality (e.g., theft of laptop, loss of portable device)?

The WIDA assessment data are downloaded from a secure FTP site provided by the WIDA scoring vendor (currently Data Recognition Corporation) to an external hard drive. The data are then uploaded to a secure SQL server and students' names and IDs are encrypted within the SQL server. The external hard drive is then removed and placed in a locked secure safe within WCER. Access to remove the drive from the safe is limited to the WIDA Research Director and Data Warehouse Manager, PI and study team member respectively. When in use, the drive is kept in a locked office.

2.2 Will subject data, specimens, or images be shared outside the UW-Madison?

Yes No

Not Applicable

View: SF: Shared: Data Release

RELEASE OF DATA, IMAGES, OR SPECIMENS OUTSIDE THE UW-MADISON

- * 3.1 Select which of the following will be released outside the UW-Madison:

- * 3.2 List the individuals or groups with whom the data, images, or specimens will be shared.

WIDA Member education agencies

- * 3.3 Describe what information will be associated with the data, images, or specimens that will be shared.

Secondary and tertiary analysis of raw data set in the form of reports.

- * 3.4 Describe how data, images, or specimens will be transmitted and how confidentiality will be protected, including who maintains the code or whether samples are anonymized.

Raw data is transmitted electronically via secure FTP site to member agencies. Or secondary data is available searchable online dashboard. Each WIDA education agency and their educators are provided with a WIDA Website login that will allow them access to the online dashboard. The dashboard only contains aggregated data at the state, national, or WIDA level.

- * 3.5 Address whether data, images, or specimens will be returned to the UW-Madison and if not, why not (e.g., samples will be exhausted).

WIDA acts as an agent for consortium members in the administration, reporting and research on assessment data as per the Every Student Succeeds Act. The data belongs to member agencies, and if an agency leaves the consortium the data is returned to them per MOU.

- * 3.6 Describe the purpose of sending the data, images, or specimens, to other sites.

Requested by WIDA member agencies.

View: SF: Shared: Consent: General

INFORMED CONSENT: GENERAL

- * 1.1 What consent process or waivers of consent are you requesting for this study?

Waiver of informed consent

View: SF: Shared: Consent Waiver

WAIVER OF INFORMED CONSENT

* 2.1 Are you requesting a waiver of informed consent for all components of the study?

Yes No

2.1.1 If no, list the component(s) of the study for which the waiver is being requested (e.g., retrospective chart review).

2.2 If your study enrolls minors, are you requesting a waiver of assent and parental permission?

Yes No

Not Applicable

* 2.3 Provide a justification for how the following criteria for a waiver of informed consent will be met: 1) The study involves no more than minimal risk to the subjects; 2) The waiver will not adversely affect the rights and welfare of the subjects; 3) The study could not practicably be carried out without the waiver.

1)The WIDA Data Warehouse involves no more than minimal risk to the subjects. 2)The waiver will not adversely affect the rights and welfare of the subjects because the ACCESS for ELLs and ALT ACCESS assessments are administered as part of educational agencies' Federal reporting requirements. 3)Each WIDA member state, as per state and federal law and FERPA requirements manages permissions for the administration of ACCESS for ELLs and ALT ACCESS and collecting data on the assessment to send to WIDA.

View: SF: Shared: General HIPAA

HIPAA: GENERAL

NOTE: For guidance on the HIPAA privacy rule, including what constitutes individually identifiable information and Protected Health Information (PHI), refer to the HIPAA website. If the purpose of this study or project is to create a database or registry, contact the HIPAA Privacy Officer to determine whether it needs to be registered.

* 1.1 Will the research involve the access, collection, use, or disclosure of individually identifiable information and Protected Health Information (PHI)?

Yes **No**

1.1.1 If yes, are you or any member of the study team conducting the study under an appointment that is within the UW-Madison Health Care Component (HCC)?

Yes No

View: SF: Shared: Interviews: General

INTERVIEWS, FOCUS GROUPS, SURVEYS, QUESTIONNAIRES, ASSESSMENTS

* 1.1 Describe the interview tools, questionnaires, or surveys that will be used. Click the add button to provide information about each tool to be used.

Details	
Tool Description	ACCESS for ELLs and ALT ACCESS for ELLs brief description
Tool Standardized	Yes
File name	ACCESS for ELLs_brief_description_042213.docx
Tool Manner	In-person
Tool Manner Other	In schools of WIDA member states/commonwealth
Date Modified	Fri Feb 14 13:02:23 CST 2014

* 1.2 Are any of the uploaded instruments used to assess cognitive or psychological status or function?

Yes No

View: SF: Shared: Interviews: Continued

**INTERVIEWS, FOCUS GROUPS, SURVEYS, QUESTIONNAIRES,
ASSESSMENTS: CONTINUED**

* 3.1 Is information that is potentially sensitive, stigmatizing, or psychologically disturbing (e.g., HIV status, illicit drug use, sexual abuse) being collected?

Yes **No**

3.1.1 If yes, justify why this information is necessary.

3.1.2 If yes, describe how the risk of disclosure will be minimized, including addressing whether a Certificate of Confidentiality will be obtained.

3.1.2.1 If you already obtained a Certificate of Confidentiality, upload it here.

File

There are no items to display

Not Applicable

3.1.3 If yes, describe any arrangements made to provide professional counseling or support resources to any subjects desiring such assistance as a result of their participation in the study.

3.2 If the study involves conducting focus groups, describe how the identity of individuals participating will be protected.

Not Applicable

3.3 If the study involves in-home visits, describe how mandatory reporting requirements (e.g., suspected child/elder abuse) will be met and how subjects will be informed of this reporting requirement.

Not Applicable

View: SF: Shared: Educational Setting

RESEARCH ACTIVITIES OCCURRING IN AN EDUCATIONAL SETTING (E.G., CLASSROOM)

REVIEW OF RECORDS/DATA/IMAGES

- * 1.1 Identify any vulnerable groups whose records/data/images will be targeted for collection, if applicable.

Children/Minors

- * 1.2 Describe the population whose records/data/images will be accessed for this study or project.

Students that take the ACCESS for ELLs® and ALT ACCESS assessments.

- * 1.3 Provide the date range of the data to be collected (e.g., 1/1/1990 - 12/31/2000). NOTE: If you are applying for an exemption, the data must be in existence at the time of IRB submission AND the end date must be no later than the date of IRB submission.

5/2/13-5/2/2017

- * 1.4 Upload a data collection sheet or a list of all data elements that will be collected.

File
Alternate ACCESS Data File Structure_2013_State.xls
Alternate ACCESS Data File Structure_2015_State.xls
WIDA Alt ACCESS State Student Resp Layout_2017_18.xlsx
WIDA Data File Structure - 2013 - State.xls
WIDA Data File Structure_2015_State.xls
WIDA Data File Structure_2016_State
WIDA_DRC ACCESS Master State Student Resp Layout_2017-_18.xlsx

- 1.5 Upload a data use agreement or MOU, if one is in place.

File
Alabama MOU 12-14.pdf
Alabama MOU 2015_18.pdf
Alaska MOU 2016_18.pdf
BIA Contract _Sept22_2016.pdf
BIE MOU 2016-2021.pdf
BIE MOU 2017_18.pdf
CNMI MOU 2015_18.pdf
CNMI PSS WIDA MOU 12-17.pdf
CO MOU 17_18.pdf

Colorado AMEND 2016_.pdf
Colorado AMEND 2017_.pdf
DC_2017_18_FX.pdf
Delaware MOU 2015_18.pdf
Delaware_WIDA_MOU_2013_15.pdf
District of Colombia MOU 2015_17.pdf
Florida MOU_2015_18.pdf
GA MOU 2017_18.pdf
Georgia MOU 2015_16.pdf
Georgia WIDA MOU 2016-2017.pdf
Hawaii MOU 2016.pdf
Hawaii MOU 2016_2017.pdf
HI MOA 2017_18 FX.pdf
ID MOU 2017_18.pdf
Idaho_MOU_2016_17.pdf
IL_renew of 2013-2016 MOU to 2019
Illinois MOU 2013-16.pdf
IN MOU 17_18 Ammend.pdf
IN MOU 2017_2018_2nd_Ammend.pdf
Indiana MOU 2016.pdf
Indiana WIDA 2017 fx.pdf
Kentucky MOU 2015_18.pdf
KY 2016-17fx(1).pdf
KY MOU 2017_18.pdf
MA MOU 17_18FX.pdf
Maine MOU 2015_16.pdf
Maine MOU 2016_17_renew.pdf
Maryland MOU 2015_20.pdf
Massachusetts MOU Amendment 2015_16.pdf
Massachusetts WIDA MOU 2016-17.pdf

ME MOU 2017_18 FX.pdf
Michigan Ammend _2016_18.pdf
Michigan MOU 2013_16.pdf
Minnesota MOU 2015_18.pdf
Missouri MOU 2016_17.pdf
MN_JPA_2011_16.pdf
MN_JPA_2011_16_Amendment_201.pdf
MO MOU 2017_18.pdf
Montana MOU 2015_18.pdf
Nevada MOU Amendment 3 2013_18.pdf
New Hamshire MOU 2016_17.pdf
New Jersey 2016_17.pdf
New Jersey MOU 2015_16.pdf
New Mexico MOU 2016_18.pdf
NH MOU 17_18FX.pdf
NJ MOU 2017_18 FX.pdf
North Carolina MOU 2015_18.pdf
North Dakota MOU 2014_16.pdf
North Dakota WIDA Contract 2016_2018.pdf
OK 2017_18 MOU FX.pdf
Oklahoma MOU 2016_17.pdf
PA 2015_18 MOU.pdf
Pennsylvania MOU 2015_8.pdf
Rhode Island MOU 2016_21.pdf
SC MOU 17_18.pdf
South Carolina MOU 2016_17.pdf
South Dakota MOU 2015_18.pdf
Tennessee MOU 2015_18.pdf
USVI MOA 2015_16.pdf
Utah MOU 2015_20.pdf

Vermont MOU 2016_18.pdf
Virgin Islands WIDA MOU 2016-17 fx.pdf
Virginia MOU 2015_18.pdf
WI_MOU_ACCESS_for_ELLs_10_2014_2013.pdf
WIDA_MA_12-16_FINAL.pdf
Wisconsin MOU 2015_18.pdf
WY 2015_18.pdf
Wyoming MOU 2015_18.pdf

* 1.6 Provide the estimated number of records/data/images that will be accessed for this project.
1959357

* 1.7 Provide a brief rationale for the number of records/data/images to be used in this study. Based on data reports from 2017 an estimated annual number of records should be 1937538 for ACCESS for ELLs; 21819 for ALT ACCESS; and the number of unique student records retained in the WIDA data warehouse should be over 4.9 million (4934972)

Census data for member education agencies.

1.8 Will the data collected as part of this study or project be used for purposes other than those that are described in this application?

* Yes No

1.8.1 If yes, do you confirm that all future uses will be submitted as separate applications to the IRB?

Yes No

View: SF: Shared: Supplemental Information

SUPPLEMENTAL INFORMATION

* 1.1 Does this submission represent a replacement of a protocol previously approved by a UW-Madison IRB (e.g., one closed under the campus Five Year Renewal Policy)?

Yes No

1.1.1 If yes, please provide the reason for the replacement (e.g., IRB required closure due to Five Year Renewal Policy):

New submission under migration from Webkit to ARROW system.

1.1.2 If yes, provide the previous number assigned to this protocol by the UW-Madison IRB that approved the study:

SE-2012-0297

2.1 Provide any additional relevant documents (e.g., supplemental statistical justification information), if applicable.

File
There are no items to display

2.2 Describe what additional documents were added in 2.1.

Regarding MOUs

Under instruction from the IRB office all WIDA 39 Member states MOUs have been uploaded onto the protocol. This protocol is primarily concerned with the Education Record Release and Data Use Agreement (DUA) of these MOUs.

According to WIDA and WCER contract specialists:

- If any of the MOU have expired or are currently being negotiated for renewal, parties honor the last signed version until renewed.
- WIDA has new guidelines for MOUs which include more detailed information about data use, and the law has recently changed from the No Child Left Behind Act (NCLB) to the Every Student Succeeds Act (ESSA). The language in the MOUs will be updated in 2017-2018.
- The WCER Standard Security Policies and Procedures will be included to the DUA in new and revised MOUs.
- Although there are some differences between the DAUs in the MOUs it is largely due to individual state requirements in the language and items to be included in contracts.

In this Change form 17 renewed MOUs have been uploaded. The only change in eight of the MOUs was to the performance period (CO, BIE, HI, IN, MI, NH, PA, WY). An additional nine MOUs were revised last quarter per new guidelines for WIDA MOUs, which include more detailed information about data use (MO, DC, GA, ID, KY, ME, OK, SC, NJ)

A brief overview of the differences in the DUAs:

WIDA DUAs can be organized in four categories:

1. Standard: This was the standard MOU prior to 2017. AL is an example. Sixteen of the DUAs are standard (AL, BIE, CNMI, DE, FL, HI, IN, MD, NV, NC, ND, PA, RI, SD, TN, and USVI).

2. An additional two DUAs do not include item 4-Use of Aggregate Data (MI, MT).

3. Eight DUAs (AK, IL, MA, MN, NH, VA, WI, WY) differ slightly from the standard in that they are

organized in a different order, go into more or less detail regarding the data request process, or link DUA items to state code. If necessary versions of those.

4. CO has a state specific format for the organization of their DUA, which contains detailed data security language. This language is reacting to the new Student Data Transparency and Security Act in CO.

NM do not include items 8-Binding Effect and Assignability, 9-Waiver, 10-Severability, and 11-Term.

UT does not include items 7 through 11 (7-Remedies, 8-Binding Effect and Assignability, 9-Waiver, 10-Severability, and 11- Term). I have consulted with Jim Lyne and Becki Kohl in the WCER Business office and all these items are covered in the UT MOU, but are not included in the DUA. This is due to the way the UT contract is organized.

VT does not include item 4 and item 11-Term.

5. Modified: The typical structure of renewed MOUs after 2017. MO is an example. Nine DOAs are in this format (MO, DC, GA, ID, KY, ME, OK, SC, NJ)

View: SF: Shared: Final Page

FINAL PAGE

* 1.1 Do you certify that the information presented in this application is accurate?

Yes No

1. Select Ready to Submit or Exit on this page to be directed to the application workspace.
 2. In the application workspace, click the Submit activity to send the application to the IRB office.
- NOTE: The Submit activity is only available to certain study team members.

View: irb_sf_cdt_fee_for_service_funding_source_DISPLAY

Name of sponsor:

UDDS: No UDDS selected

UW fund/account number:

Accounting Point of Contact: No accounting poc selected

View: irb_sf_cdt_fee_for_service_funding_source_DISPLAY

Name of sponsor: WIDA Consortium - Wisconsin Center for Education Research (WCER)
UDDS: WIS CENTER FOR EDUCATION RESCH-GEN (A177500)
UW fund/account number: 136-17-7570-4
Accounting Point of Contact: No accounting poc selected